Formulation, optimization and evaluation of mucoadhesive microspheres of captopril

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ABSTRACT: The objective of the present study was to develop mucoadhesive microspheres of captopril in order to achieve extended retention in upper gastro intestinal tract to enhance absorption and bioavailability. The microspheres were prepared by emulsification method using different ratio of sodium alginate with captopril by cross-linking with calcium chloride. Fourier-transform infrared spectroscopy study shows that captopril and other excipients are compatible with each other. The effects of polymers concentration on drug release profile were investigated. Response surface methodology was applied to systematically optimize the drug release profile. Polymer to drug ratio and stirring speed were selected as independent variables. Drug entrapment efficiency, percentage mucoadhesive and in vitro drug release after 6 hours were selected as dependent variables. Obtained microspheres were subjected to different evaluation parameters such as percentage yield, particle size analysis, drug entrapment efficiency, percentage mucoadhesive, in vitro drug release, drug release kinetics and scanning electron microscopy. The optimized formulation (MM10) showed satisfactory drug entrapment efficiency of 80.34±1.8 %, percentage mucoadhesive of 95.75±1.2 and percentage drug release after 6 hours of 26.08±0.45 %. Scanning electron microscopy analysis revealed that particles were spherical with smooth surface. Particles were free flowing with average particle size of 51.43 μm. Better results were observed from optimized mucoadhesive microspheres of captopril, thereby improving the bioavailability due to prolong release of drug in stomach.

KEYWORDS: Response surface methodology; emulsification method; optimization; captopril; dependent and independent variables; mucoadhesive; microspheres.

1. INTRODUCTION

Various diseases, such as hypertension, rheumatoid arthritis and angina pectoris show circadian rhythm where these diseases show critical conditions during early hours of the day such as inflammations associated with morning stiffness, asthma and heart attack in early hours of the day. For such diseases conventional drug delivery systems are inappropriate, as they cannot be administered just before the symptoms are worsened, because during this time, the patients are asleep. Such diseases require rationale therapy where drug is released from the dosage forms when the symptoms are worsen particularly during early hours [1].

Hypertension is a chronic medical condition in which the blood pressure in the arteries is elevated. Blood pressures are subjected to circadian rhythms such as vascular reactivity and capillary resistances are higher during day time whereas platelet aggregation is increased in the morning, leading to a state of relative hypercoagulability of the blood. The peak blood pressure is during 4 am and noon. These changes in blood pressure correspond to morning activation in catecholamines, renin and angiotensin [2].

Captopril is well absorbed from the proximal small intestine; approximately 70% is absorbed in healthy fasting subjects. Captopril is a structural derivative of the amino acid proline and it is, therefore, likely that the drug is absorbed from the small intestine by an active transport process. Captopril has a narrow absorption window which limits its absorption from other parts of intestine. Short biological half life of 1-2 hours is one of the most important drawback of captopril. Therefore, the development of a once-daily captopril oral formulation would be a significant advantage for patient compliance [3,4].

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Marketed captopril could not release drug in the early morning hours when the symptoms of diseases were at peak level in the case of heart attack patients.

To satisfy these conditions, mucoadhesive concept was applied to formulate captopril microspheres, to increase gastric residence of dosage form.

2. RESULTS AND DISCUSSION

2.1. Compatibility studies

The IR spectra of the combination of captopril and sodium alginate and optimized formulation (MM10) were compared with the standard spectrum of pure drug captopril and the characteristic peaks associated with specific functional groups and bonds of the molecule and their presence/absence were noted.

The prominent peaks associated with C-H, S-H, C=O-COOH, C=O Amide, C-O Stretching and C-N stretching were analysed. The range of peak values were found to be the same indicating that there were no interaction of captopril with different polymers confirming the stability of drug in the formulations.

The FTIR spectrum of captopril, captopril and sodium alginate and optimized formulation (MM10) are shown in the Figure 1.

![Figure 1](https://example.com/figure1.png)

**Figure 1.** FTIR spectra of pure drug captopril (A), mixture of captopril and sodium alginate (B) and optimized formulation (MM10) (C).

2.2. Preliminary trial batches

Several preliminary trial batches were undertaken for various proportions of captopril and sodium alginate for qualitative and quantitative determination of microsphere characteristics. It was found that sodium alginate microspheres showed desirable yield, drug content, entrapment efficiency, percentage mucoadhesive and adequate drug release characteristics as shown in Tables 1 and 2.

<table>
<thead>
<tr>
<th>Batch code</th>
<th>Percentage yield</th>
<th>Particle size (µm)</th>
<th>Bulk density</th>
<th>Tapped density</th>
<th>Hausner's ratio</th>
<th>Carr's index</th>
<th>Angle of repose</th>
</tr>
</thead>
<tbody>
<tr>
<td>M1</td>
<td>71.5±1.17</td>
<td>52.9±3.43</td>
<td>0.79±0.27</td>
<td>0.85±0.43</td>
<td>1.07±0.03</td>
<td>7.05±0.45</td>
<td>19.88±0.31</td>
</tr>
<tr>
<td>M2</td>
<td>79.0±1.43</td>
<td>61.5±3.05</td>
<td>0.85±0.37</td>
<td>1.05±0.23</td>
<td>1.23±0.37</td>
<td>19.04±1.43</td>
<td>20.04±0.15</td>
</tr>
<tr>
<td>M3</td>
<td>87.9±1.65</td>
<td>86.3±3.09</td>
<td>0.95±0.26</td>
<td>1.12±0.31</td>
<td>1.17±0.83</td>
<td>15.17±0.23</td>
<td>23.27±0.21</td>
</tr>
<tr>
<td>M4</td>
<td>88.8±1.13</td>
<td>87.9±3.83</td>
<td>0.97±0.74</td>
<td>1.19±0.55</td>
<td>1.22±0.19</td>
<td>18.48±0.65</td>
<td>25.81±0.27</td>
</tr>
</tbody>
</table>

*All values represent mean ± SD; (n=3). SD: Standard deviation.

<table>
<thead>
<tr>
<th>Batch code</th>
<th>Percentage mucoadhesive</th>
<th>Percentage entrapment efficiency</th>
<th>Percentage drug release after 6 hours</th>
</tr>
</thead>
<tbody>
<tr>
<td>M1</td>
<td>76.8±4.15</td>
<td>57.5±4.11</td>
<td>48.80±0.37</td>
</tr>
<tr>
<td>M2</td>
<td>84.4±1.8</td>
<td>68.59±1.4</td>
<td>36.67±0.88</td>
</tr>
<tr>
<td>M3</td>
<td>91.09±1.4</td>
<td>72.54±1.0</td>
<td>31.25±0.28</td>
</tr>
<tr>
<td>M4</td>
<td>91.80±1.6</td>
<td>60.00±1.6</td>
<td>21.26±0.74</td>
</tr>
</tbody>
</table>

*All values represent mean ± SD; (n=3). SD: Standard deviation.
It was observed that as the concentration of sodium alginate was increased from 1 % to 4 % the percentage yield of microspheres increased from 71.50 % to 88.86 %, particle size increased from 52.98 µm to 87.93 µm, percentage mucoadhesive increased from 76.84 % to 91.80 % and entrapment efficiency increased from 57.54 % to 72.54 % for 3 % sodium alginate and further decreased to 60 % as concentration of sodium alginate increased to 4 %. Percentage drug release after 6 hours decreased from 52.43 % to 38.34 % as shown in Figure 2. For the formulation 1:3, Carr’s index and Hausner’s ratio were found to be within the limits i.e. Carr’s index was less than 25 and Hausner’s ratio was found to be less than 1.25 indicating good flow properties. Hence drug: polymer ratio 1:3 at 1500 rpm was selected for optimization.

Figure 2. Percentage drug release of preliminary batches (M1-M4).
All values represent mean ± SD; (n=3). SD: Standard deviation.

2.3. Captopril mucoadhesive microspheres subjecting to optimization

From the results of the preliminary trial batches of captopril mucoadhesive microspheres, the drug: polymer ratio 1:3 at 1500 rpm was selected for optimization. Nine different formulations were obtained coded as MM1-MM9.

2.4. Evaluation of captopril mucoadhesive microspheres subjected to optimization (MM1-MM9)

2.4.1. Percentage yield

The effect of sodium alginate concentration and stirring speed was determined. The percentage yield increased from 80.40 % to 94.65 % with increase in sodium alginate concentration and increase in stirring speed from 1000 to 2000 rpm. Decrease in the polymer concentration has resulted in a decrease in the percentage yield. This may be due to the fact that as the concentration of polymer decreases the quantity of polymer becomes less to cover drug particles completely. As more amount of polymer is available, therefore as the sodium alginate concentration increases percentage yield increases (Table 3) [5].

2.4.2. Micromeritic studies

Particle size analysis

The particle size decreased from 89.07 to 48.47 µm with increase in speed from 1000 to 2000 rpm at 3 % concentration of sodium alginate indicating that as the stirring speed increases particle size decreases. The particle size increased from 49.84 to 87.85 µm with increase in concentration of sodium alginate at 1500 rpm indicating that as the concentration of sodium alginate increases particle size increases (Table 3). The result indicates that as the polymer concentration increase it results in high viscosity of polymer solution thereby increasing the size of the particle. As the polymer concentration increases, there is an increase in the frequency of collision, which results in the fusion of semi-formed particles and production of an overall increase in the size of the microspheres. The increase in stirring speed produced higher energy, which decreased the size, and thus produced smaller microspheres [6].

Bulk density, Tapped density, Carr’s Compressibility Index, Hausner’s ratio and Angle of Repose

The bulk density, tapped density and Hausner’s ratio of formulation MM1 to MM9 ranges from 0.82±0.04 to 1.28±0.67, 0.89±0.85 to 1.58±0.92 and 1.07±0.23 to 1.32±0.37 respectively. The Carr’s compressibility index ranges between 6.73±0.30 to 24.63±0.07 %. The angle of repose ranges from 21.59±0.43 to 28.27±0.65 (Table 3). The Carr’s index and angle of repose values indicated excellent flow properties of microspheres [7].
2.4.3. Percentage mucoadhesive

To ensure the adhesion of microspheres to the mucosa, mucoadhesion studies were carried out for a prolonged period of time. The microspheres containing sodium alginate showed good mucoadhesive property for more than 3 hours. (Table 4). As the stirring speed increases, the percentage mucoadhesive decreases, which may be due to change in the particle size that affects mucoadhesion. Whereas as the polymer concentration increases, the percentage mucoadhesion also increases. The mucoadhesive property of these particles resulted in prolonged retention in the gastric mucosa.

2.4.4. Drug entrapment efficiency

All the formulations showed good percentage entrapment efficiency with maximum up to 85.12±0.2% as shown in Table 4. The percentage entrapment efficiency decreased from 75.56±2.1 to 70.78±2.1 % with increase in speed from 1000 to 2000 rpm at 3 % concentration of sodium alginate as well as decreased from 80.34±1.2 to 67.59±1.5 % with increase in concentration of sodium alginate at 1500 rpm indicating that as the stirring speed and concentration of sodium alginate increases percentage entrapment efficiency decreases.

The method used for the preparation of microspheres may be the reason for the high drug entrapment efficiency. The calcium chloride solution was merged with the internal phase of alginate-containing drug by use of methanol which resulted in the formation of gel instantaneously with entrapment of the drug in the planar two-dimensional lattice of the cross-linked alginate to produce the ‘eggbox’ structure. This can account for the quick hardening of the gel preventing the escape of acyclovir back into the aqueous phase of the emulsion [5].

2.4.5. In vitro drug release studies

It was observed that as the concentration of sodium alginate was increased, the percentage drug release of captopril decreased. (Table 4). The decrease in drug release may be attributed to the increase in the extent of swelling and the gel layer thickness that acted as a barrier for the penetration medium, thereby retarding the diffusion of captopril from the swollen alginate matrix. Figure 3 depicts the plot of cumulative percentage drug release v/s time graph for the nine formulations.

### Table 3. Evaluation parameters of the optimized formulations.

<table>
<thead>
<tr>
<th>Batch code</th>
<th>Percentage yield</th>
<th>Particle size (µm)</th>
<th>Bulk density</th>
<th>Tapped density</th>
<th>Hausner’s ratio</th>
<th>Carr’s index</th>
<th>Angle of repose</th>
</tr>
</thead>
<tbody>
<tr>
<td>MM 1</td>
<td>86.9±1.05</td>
<td>81.2±3.50</td>
<td>0.93±0.02</td>
<td>1.10±0.83</td>
<td>1.18±0.19</td>
<td>15.45±0.11</td>
<td>24.31±0.55</td>
</tr>
<tr>
<td>MM 2</td>
<td>80.4±1.07</td>
<td>49.8±3.30</td>
<td>0.82±0.04</td>
<td>0.89±0.85</td>
<td>1.08±0.21</td>
<td>7.86±0.07</td>
<td>22.80±0.31</td>
</tr>
<tr>
<td>MM 3</td>
<td>92.7±1.05</td>
<td>87.8±3.30</td>
<td>1.27±0.80</td>
<td>1.56±0.84</td>
<td>1.22±0.24</td>
<td>18.58±0.11</td>
<td>23.07±0.23</td>
</tr>
<tr>
<td>MM 4</td>
<td>89.2±1.07</td>
<td>48.4±3.65</td>
<td>1.08±0.69</td>
<td>1.28±0.94</td>
<td>1.18±0.36</td>
<td>15.62±0.10</td>
<td>21.59±0.43</td>
</tr>
<tr>
<td>MM 5</td>
<td>91.7±1.02</td>
<td>56.5±4.45</td>
<td>1.28±0.67</td>
<td>1.58±0.92</td>
<td>1.23±0.34</td>
<td>18.98±0.02</td>
<td>23.15±0.45</td>
</tr>
<tr>
<td>MM 6</td>
<td>85.8±1.10</td>
<td>46.9±3.43</td>
<td>1.04±0.55</td>
<td>1.38±0.30</td>
<td>1.32±0.37</td>
<td>24.63±0.07</td>
<td>28.27±0.65</td>
</tr>
<tr>
<td>MM 7</td>
<td>85.5±1.11</td>
<td>89.0±3.23</td>
<td>0.97±0.20</td>
<td>1.04±0.37</td>
<td>1.07±0.23</td>
<td>6.73±0.30</td>
<td>25.69±0.55</td>
</tr>
<tr>
<td>MM 8</td>
<td>78.0±1.07</td>
<td>82.8±3.31</td>
<td>1.03±0.22</td>
<td>1.25±0.39</td>
<td>1.21±0.25</td>
<td>17.60±0.50</td>
<td>22.90±0.80</td>
</tr>
<tr>
<td>MM 9</td>
<td>94.6±1.11</td>
<td>90.9±2.55</td>
<td>0.84±0.24</td>
<td>0.92±0.92</td>
<td>1.09±0.36</td>
<td>8.96±0.31</td>
<td>27.21±0.21</td>
</tr>
</tbody>
</table>

*All values represent mean ± SD; (n=3). SD: Standard deviation.

### Table 4. Evaluation parameters of the optimized formulations.

<table>
<thead>
<tr>
<th>Batch code</th>
<th>Percentage mucoadhesive</th>
<th>Percentage entrapment efficiency</th>
<th>Percentage drug release after 6 hours</th>
</tr>
</thead>
<tbody>
<tr>
<td>MM 1</td>
<td>91.99±1.9</td>
<td>72.65±1.4</td>
<td>35.68±0.19</td>
</tr>
<tr>
<td>MM 2</td>
<td>92.92±2.1</td>
<td>80.34±1.2</td>
<td>44.89±0.21</td>
</tr>
<tr>
<td>MM 3</td>
<td>95.16±1.0</td>
<td>67.59±1.5</td>
<td>23.54±0.10</td>
</tr>
<tr>
<td>MM 4</td>
<td>93.02±2.3</td>
<td>70.78±2.1</td>
<td>36.97±0.23</td>
</tr>
<tr>
<td>MM 5</td>
<td>93.71±0.5</td>
<td>66.00±1.2</td>
<td>36.28±0.05</td>
</tr>
<tr>
<td>MM 6</td>
<td>96.21±1.4</td>
<td>74.76±1.1</td>
<td>54.65±0.14</td>
</tr>
<tr>
<td>MM 7</td>
<td>93.11±2.6</td>
<td>75.56±2.1</td>
<td>34.54±0.26</td>
</tr>
<tr>
<td>MM 8</td>
<td>92.98±1.0</td>
<td>85.12±0.2</td>
<td>29.29±0.10</td>
</tr>
<tr>
<td>MM 9</td>
<td>97.07±1.9</td>
<td>69.58±1.9</td>
<td>28.35±0.19</td>
</tr>
</tbody>
</table>

*All values represent mean ± SD; (n=3). SD: Standard deviation.
2.5. Data analysis and model validation

2.5.1. Fitting of data to the model, Contour and three-dimensional (3D) response surface plot analysis

All the response variables were observed experimentally for 9 runs as proposed by the central composite design and were fitted to run design chart. After elimination of non-significant coefficients, following correlations were obtained for response variables in terms of coded factors:

\[
\text{Percentage mucoadhesive: } Y_1 = +95.97+1.24A-0.2117B+0.9425AB-0.6150A^2+0.93B^2 \quad \text{(Eq. 1)}
\]

\[
\text{Percentage entrapment efficiency: } Y_2 = +74.46-1.39A-0.2167B+5.42AB+0.9017A^2+1.90B^2 \quad \text{(Eq. 2)}
\]

\[
\text{Percentage drug release at 6 hours: } Y_3 = +26.86-2.84A+1.22B-1.87AB-2.56A^2+3.01B^2 \quad \text{(Eq. 3)}
\]

Where A is the sodium alginate concentration and B is stirring speed.

The above model equations carry factors along with coefficients (positive/negative) which quantifies response values. A negative sign indicates antagonistic effect whereas positive sign of coefficient indicates synergistic effect.

All the polynomial equations were found to be statistically significant (p<0.01), as determined using ANOVA as per the provision of design expert software. The “F-value lack of fit” of 3.01 implies that the F value is not significant relative to the pure error. Non-significant lack of fit is good, and the model is fit.

Equation (1) suggests that the factor A have a positive effect whereas factor B has a negative effect on the percentage mucoadhesive of the dosage forms. As the concentration of sodium alginate increased the percentage mucoadhesive also increased whereas as the stirring speed increased the mucoadhesive decreased. The 3D response surface plot, Figure 4a is used to see the impact of independent variables and was found that the percentage mucoadhesive increased with increase in the concentration of sodium alginate and decreased with increase in stirring speed. This is also supported by the contour plot as shown in Figure 4b.

Figure 4: Percentage mucoadhesive 3D surface response plot (A) and contour plot (B).
Equation (2) suggests that the factor A as well as factor B have a negative effect on the percentage entrapment efficiency of the dosage forms. As the concentration of sodium alginate and stirring speed increased, the percentage entrapment efficiency decreased. The 3D response surface plot, Figure 5a is used to see the impact of independent variables and was found that the percentage entrapment efficiency decreased with increase in the concentration of sodium alginate and stirring speed. This is also supported by the contour plot as shown in Figure 5b.

![Figure 5](https://example.com/figure5.png)

**Figure 5.** Percentage entrapment efficiency 3D surface response plot (A) and contour plot (B).

Equation (3) suggests that the factors A have negative effect whereas factor B have a positive effect on percentage drug release after 6 hours. As the concentration of the polymer increased percentage drug release after 6 hours gets decreased significantly whereas as the stirring speed increased percentage drug release after 6 hours gets increased. To visualize the impact of changing variables, the response surface plot Figure 6a and contour plot Figure 6b were used.

![Figure 6](https://example.com/figure6.png)

**Figure 6.** Percentage drug release 3D surface response plot (A) and contour plot (B) after 6 hours.

### 2.6. Optimization

To generate an optimum formulation, numerical optimization technique using the desirability function approach was employed. Suitable levels of constraints (Target) were chosen to achieve desired responses of the formulation. The desirable ranges of responses were restricted to percentage mucoadhesive at 95%, percentage entrapment efficiency at 82 % and percentage drug release after 6 hours at 25 % as shown in Table 5 as per the software. On analyzing various response variables and comprehensive evaluation of feasibility of exhaustive grid search, the following combination of variables was suggested by the software, sodium alginate concentration = 3 % and stirring speed = 1250 (rpm).

### 2.7. Evaluation of the optimized captopril mucoadhesive microspheres

Prepared optimized captopril mucoadhesive microspheres were evaluated and the percentage yield of microsphere was found to be 78.98±1.9 % and the particle size was 51.43±3.43 µm. The bulk density, tapped density and Hausner’s ratio was 0.85±0.84, 0.91±0.26 and 1.07±0.90 respectively. The Carr’s compressibility index and angle of repose were found to be 6.59±0.38 % and 21.09±0.43 respectively indicating excellent flow properties of microspheres.

The percentage mucoadhesive and drug entrapment efficiency were observed as 95.75 % and 80.34% respectively. The *in vitro* drug release study was found to be 26.08% after 6 hours. Table 6 lists the predicted
and experimental values of all the response variables, and the percentage error. Upon comparison of the observed responses with that of the anticipated responses, the mean of percentage error was found to be 0.82. Thus, the low magnitudes of error indicated excellent fit of model.

<table>
<thead>
<tr>
<th>Parameters</th>
<th>Goal</th>
<th>Lower limit</th>
<th>Upper limit</th>
<th>Lower weight</th>
<th>Upper weight</th>
<th>Importance</th>
</tr>
</thead>
<tbody>
<tr>
<td>A: Polymer Concentration (% w/v)</td>
<td>Is in range</td>
<td>-1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>B: Stirring speed (rpm)</td>
<td>Is in range</td>
<td>-1</td>
<td>1</td>
<td>1</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>Y1: Percentage mucoadhesive</td>
<td>Target=95</td>
<td>91</td>
<td>97</td>
<td>1</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>Y2: Percentage entrapment efficiency</td>
<td>Target=82</td>
<td>66</td>
<td>85</td>
<td>1</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>Y3: Percentage drug release after 6 hours</td>
<td>Target=25</td>
<td>23</td>
<td>54</td>
<td>1</td>
<td>1</td>
<td>1</td>
</tr>
</tbody>
</table>

Table 5. Criterion for numerical optimization.

<table>
<thead>
<tr>
<th>MM10 (optimized)</th>
<th>CPT concentration (%)</th>
<th>Sodium alginate concentration (%)</th>
<th>Stirring speed (rpm)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1</td>
<td>3</td>
<td>1000</td>
</tr>
</tbody>
</table>

Table 6. Comparison of experimental results with predicted responses of optimized formulation.

<table>
<thead>
<tr>
<th>Batch code</th>
<th>Response</th>
<th>Predicted value</th>
<th>Experimental value</th>
<th>Percentage error</th>
</tr>
</thead>
<tbody>
<tr>
<td>MM10</td>
<td>Percentage mucoadhesive</td>
<td>95.11</td>
<td>95.75</td>
<td>0.64</td>
</tr>
<tr>
<td></td>
<td>Percentage entrapment efficiency</td>
<td>79.56</td>
<td>80.34</td>
<td>0.78</td>
</tr>
<tr>
<td></td>
<td>Percentage drug release after 6 hours</td>
<td>27.03</td>
<td>26.08</td>
<td>-1.05</td>
</tr>
<tr>
<td></td>
<td>Mean of percentage error</td>
<td></td>
<td></td>
<td>0.82</td>
</tr>
</tbody>
</table>

2.8. Surface morphology

The morphology of the optimized microspheres was examined using scanning electron microscopy. The view of the microspheres showed a spherical structure with a smooth surface morphology and within batches exhibited a range of sizes of microspheres (Figure 7).

2.9. Kinetic studies

Calculated regression co-efficient for optimized formulation are shown in Table 7. These values of in vitro were attempted to fit into various mathematical models, zero order, first order, higuchi matrix and peppas. These values were compared with each other for model fitting equation. Based on the highest regression values (r), the best fit model was Korsmeyer and Peppas.
Further Korsmeyer and Peppas equation resulted into the values of $n > 0.89$ indicating that the release from the optimized captopril mucoadhesive microspheres was by super Case II transport. This model is used to analyse the release of pharmaceutical dosage forms when the release mechanism is dominated by the swelling of the polymer.

### Table 7. Kinetics release study of MM10 (optimized formulation).

<table>
<thead>
<tr>
<th>Formulation Code</th>
<th>Zero Order</th>
<th>First Order</th>
<th>Higuchi</th>
<th>Peppas Plot</th>
<th>n-Value</th>
<th>Best fit model</th>
</tr>
</thead>
<tbody>
<tr>
<td>MM10 (optimized)</td>
<td>0.942</td>
<td>0.938</td>
<td>0.805</td>
<td>0.812</td>
<td>0.960</td>
<td>Peppas (Case II transport)</td>
</tr>
</tbody>
</table>

3. CONCLUSION

The mucoadhesive drug delivery system is a promising approach to achieve controlled release using polymers like sodium alginate. The present study of captopril gastro retentive mucoadhesive microspheres, proved to be an ideal formulation as it released the drug in controlled fashion for extended period of time and thereby improving the bioavailability of captopril. It was observed that as the concentration of polymer increased, the entrapment efficiency and drug release decreased whereas percentage mucoadhesive increased. The optimized formulation with polymer concentration of 3 % and stirring speed 1250 rpm showed better release profile and therefore can be considered as the best formulation.

4. MATERIALS AND METHODS

Captopril and sodium alginate was provided by Yarrow Chemicals Ltd., Mumbai, India. All other chemicals/reagents used were of analytical grade.

4.1. Compatibility studies

The pure drug, polymer mix and formulation were subjected to Fourier transform infrared (FTIR) studies. The pure drug, polymer and formulation was mixed with small quantity of IR grade potassium bromide and scanned in the range of 4000–400 cm$^{-1}$ using an FTIR JASCO instrument (Jasco Corporation, Tokyo, Japan) [8].

4.2. Formulation of captopril mucoadhesive microspheres

Microspheres containing captopril a core material were prepared by emulsification method. In the preliminary trials, weighed amount of captopril (50 mg) was dispersed in aqueous solution of sodium alginate (10 ml). The aqueous phase was emulsified in light liquid paraffin in the ratio 1:10 containing 2% (v/v) Span 80 using a mechanical stirrer at 1500 rpm for 60 min. Five milliliters of 10% w/v calcium chloride dissolved in a mixture of methanol and isopropanol in a ratio of 2:3 was added slowly to the emulsion and stirred to assure efficient cross-linking. Microspheres were collected by filtration, washed with isopropanol thrice, and finally air-dried at room temperature. M1 to M4 were the preliminary batches prepared using different levels of sodium alginate (1,2,3 and 4 %) [9].

4.3. Experimental design

The design of experiments (DOE) was used to optimize the emulsification method with the minimum number of experiment runs and to find out which process variables have the highest impact on the prepared microspheres. In this study, central composite design was applied. On the basis of preliminary trials, concentration of sodium alginate and stirring speed were used as independent variables whereas drug entrapment efficiency, percentage mucoadhesive and in vitro drug release after 6 hours were selected as dependent variables. Formulations MM1 to MM9 were prepared using three different levels of sodium alginate (1,2,3 and 4 %) [9]. Optimized formulation was then formulated and used to validate the obtained polynomial equation model [10].
Table 8. Full factorial experimental design layout.

<table>
<thead>
<tr>
<th>Batch (runs)</th>
<th>Factor 1</th>
<th>Factor 2</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Concentration of sodium alginate (A)</td>
<td>Stirring speed (B)</td>
</tr>
<tr>
<td>MM 1</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>MM 2</td>
<td>-1</td>
<td>0</td>
</tr>
<tr>
<td>MM 3</td>
<td>1</td>
<td>0</td>
</tr>
<tr>
<td>MM 4</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>MM 5</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td>MM 6</td>
<td>-1</td>
<td>1</td>
</tr>
<tr>
<td>MM 7</td>
<td>0</td>
<td>-1</td>
</tr>
<tr>
<td>MM 8</td>
<td>-1</td>
<td>-1</td>
</tr>
<tr>
<td>MM 9</td>
<td>1</td>
<td>-1</td>
</tr>
</tbody>
</table>

Code | Concentration of sodium alginate (% w/v) | Stirring speed (rpm) |
---|--------------------------------------|---------------------|
-1  | 2.5                                  | 1000                |
0   | 3                                    | 1500                |
1   | 3.5                                  | 2000                |

4.4. Regression analysis

The targeted response parameters were analysed statistically by applying Analysis of Variance (ANOVA) using Design Expert Software (version 11.00). For all the response variables statistical second-order model including interaction and polynomial terms were generated using Multiple Linear Regression Analysis (MLRA). The general form of the model is represented as:

\[ Y = \beta_0 + \beta_1 A + \beta_2 B + \beta_3 AB + \beta_4 A^2 + \beta_5 B^2 + \beta_6 AB^2 + \beta_7 A^2B + \beta_8 A^2B^2 \] (Eq. 4)

Where \( \beta_0 \), is the intercept which is the arithmetic average of all quantities of outcomes for 9 runs, \( \beta_1 \) to \( \beta_8 \) are the coefficients computed from the observed experimental values of Y. A and B are the coded levels of the independent variables. AB is the interaction between the main effects. \( A^2 \) and \( B^2 \) are the quadratic terms of the independent variables that were used to simulate the curvature of the designed sample space. The quadratic models generated by regression analysis were used to construct the 3-dimensional graphs. The effect of the independent variables on each response parameter was visualized from the contour plots [11].

4.5. Evaluation of captopril mucoadhesive microspheres

4.5.1. Percentage yield

The percentage yield of all the formulations was determined by weighing the microspheres after drying. The percentage yield of different formulations was calculated as follows [12]:

\[ \% \text{ Yield} = \frac{\text{Actual weight of microsphere}}{\text{Total weight of drug and polymer}} \times 100 \] (Eq. 5)

4.5.2. Micromeritic studies

Particle size analysis:

Particle sizes of all the formulations were determined by optical microscopy with the help of ocular and stage micrometer. Sizes of around 100 particles were measured, and their average particle size was determined. The mean particle size of all formulations was determined by using the Edmondson’s equation [13,14]:

\[ D_{\text{mean}} = \frac{\Sigma nd}{\Sigma n} \] (Eq. 6)

Where, \( n \) = number of microspheres checked; \( d \) = mean size range.

Bulk density:

The prepared microspheres was weighed and introduced into a graduated measuring cylinder of 10 ml capacity. The volume of the sample was taken, and bulk density was calculated using the formula given below [13,14]:

\[ \text{Bulk density} = \frac{\text{Weight of microsphere}}{\text{Volume of microsphere}} \]
Bulk density = $\frac{\text{Weight of the microsphere}}{\text{Bulk volume of the microsphere}}$ \hspace{1cm} (Eq. 7)

**Tapped density:**

The prepared microspheres was weighed and introduced into a graduated measuring cylinder of 10 ml capacity. The initial volume was noted and the cylinder was allowed to fall under on to a hard surface from the height of 2.5 cm at 2-second intervals. Tapping was continued until no further change in volume was noted [13,14].

Bulk density = $\frac{\text{Weight of the microsphere}}{\text{Volume of the microsphere after tapping}}$ \hspace{1cm} (Eq. 8)

**Carr’s Compressibility Index:**

The percentage compressibility index was calculated according to the following formula [13,14]:

$\% \text{ Compressibility Index} = \frac{\text{Tapped density} - \text{Bulk density}}{\text{Tapped density}} \times 100$ \hspace{1cm} (Eq. 9)

**Hausner’s ratio:**

Hausner’s ratio of microspheres was determined by comparing the tapped density to the bulk density using the following formula [13,14]:

Hausner’s ratio = $\frac{\text{Tapped density}}{\text{Bulk density}}$ \hspace{1cm} (Eq. 10)

**Angle of Repose:**

It was determined fixed funnel method whose tip was fixed at a constant height (h) of 2cm from the horizontal surface. The microspheres were allowed to freely pass through the funnel until the tip of the pile touches the tip of the funnel. The radius of the base of the pile was measured (r cm). The angle of repose was determined using the formula [13,14]:

Angle of repose = $\tan^{-1} \frac{h}{r}$ \hspace{1cm} (Eq. 11)

4.5.3. Percentage mucoadhesive

A freshly excised piece of intestinal segment from rat was mounted on to glass slides. A weighed amount of microsphere sample was added over a fresh rat intestinal segment, mounted on a tilted glass slide with an angle of 45 degree and allowed to rest for 3 hour. The effluent was run over the intestinal segment. The effluent was collected in a whatman filter paper and weight of detached microsphere particles was determined. By using the following equation percentage mucoadhesive can be calculated [15] (Figure 8). To carry out the procedure on rat intestinal segment approval was given by Institutional Animal Ethics Committee (IAEC) of Shree Devi College of Pharmacy:

Percentage mucoadhesive = $\frac{\text{Weight of sample} - \text{Weight of detached particles}}{\text{Weight of sample}} \times 100$ \hspace{1cm} (Eq. 12)

**Figure 8.** Percentage mucoadhesive test using fresh rat intestinal segment.
4.5.4. Drug entrapment efficiency

Microspheres equivalent to 10 mg of captopril were accurately weighed, trituated and digested in 10 ml simulated gastric fluid (pH 1.2) and kept overnight for extraction of drug. The digested homogenate was centrifuged and supernatant was collected. After appropriate dilution of supernatant with same buffer solutions, aliquots were assayed by UV spectrophotometer at λmax 211 nm. Corresponding drug concentrations in the sample was calculated from the standard calibration curve.

Efficiency of drug entrapment for each formulation was calculated in terms of percentage drug entrapment as per the following formula [16,17]:

$$\text{Drug Entrapment efficiency} = \frac{\text{Practical Drug Content (mg)}}{\text{Theoretical Drug Content (mg)}} \times 100$$

(Eq. 13)

The theoretical drug content was determined by calculation assuming that the entire drug present in the solution gets entrapped in microspheres and no loss occurs at any stage of preparation of microspheres.

4.5.5. In vitro drug release studies

The dissolution studies of captopril mucoadhesive microspheres (equivalent to 50 mg of captopril) were carried out using USP dissolution type I apparatus (basket type) and 900 ml of simulated gastric fluid (pH 1.2), maintained at 37±0.5 ºC. The speed of stirrer was maintained at 100 rpm. An aliquot of 5 ml of the solution was withdrawn at predetermined time intervals, and replaced by an equivalent volume of fresh dissolution medium to maintain perfect sink condition. The sample solution was filtered through whatman No.1 filter paper and analyzed to determine the amount of drug released using a UV spectrophotometer (UV 1800, Shimadzu, Kyoto, Japan) at 211 nm wavelength. All experiments were performed in triplicate and average values were plotted [18,19].

4.6. Optimized captopril mucoadhesive microspheres

To obtain the desired response, numerical optimization using the desirability approach was employed to locate the optimal settings of the formulation variables. By setting constraints on the dependent and independent variables, the optimized formulation (MM10) was developed. The optimized formulation was evaluated for the responses [20].

4.7. Evaluation of the optimized captopril mucoadhesive microspheres

Prepared optimized captopril mucoadhesive microspheres were evaluated for percentage yield, micromeritic studies, percentage mucoadhesive, drug content, drug entrapment efficiency and in vitro drug release studies.

4.8. Surface morphology

Scanning electron microscopy was used to study surface topography, texture and to examine the morphology of fractured or sectioned surface of the mucoadhesive microspheres. The optimized formulation were mounted using a double-sided sticking tape and coated with gold (200 Ao) on the scanning electron microscopy (SEM) sample stab, under reduced pressure (0.001 torr) for 5 min using ion sputtering device (Jeol JFC-1100E, Tokyo, Japan). The gold-coated samples were observed under the scanning electron microscopy (SEM-Jeol JSM-840A, Tokyo, Japan) and photomicrographs of suitable magnification were obtained [21,22].

4.9. Kinetic studies

The in vitro drug release data of the optimized formulation was evaluated to check the goodness of fit to the zero-order kinetics, first-order kinetics, higuchi's model and Korsmeyer-Peppas model for quantifying the phenomena controlling the release from mucoadhesive microspheres [23].


Conflict of interest statement: The authors declared no conflict of interest.
REFERENCES


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